Senate Study Bill 1001 - Introduced

(PROPOSED COMMITTEE ON ETHICS RESOLUTION BY CHAIRPERSON KIBBIE) 1 A Resolution relating to the Senate Rules Governing Lobbyists and their interactions with the Senate 2 and members of the Senate during the Eighty-fourth 3 General Assembly. BE IT RESOLVED BY THE SENATE, That the Senate Rules 5 6 Governing Lobbyists for the Eighty-third Eighty-fourth 7 General Assembly shall be as follows: 8 SENATE RULES GOVERNING LOBBYISTS 1. DEFINITIONS. As used in these rules, "client", 9 10 "gift", "honoraria" or "honorarium", "immediate family 11 member", and "lobbyist" have the meaning provided 12 in chapter 68B. As used in these rules, the term 13 "political action committee" means a committee, but not 14 a candidate's committee, which accepts contributions, 15 makes expenditures, or incurs indebtedness in the 16 aggregate of more than seven hundred fifty dollars 17 in any one calendar year to expressly advocate the 18 nomination, election, or defeat of a candidate for 19 public office or to expressly advocate the passage or 20 defeat of a ballot issue or influencing legislative 21 action, or an association, lodge, society, cooperative, 22 union, fraternity, sorority, educational institution, 23 civic organization, labor organization, religious 24 organization, or professional or other organization 25 which makes contributions in the aggregate of more than 26 seven hundred fifty dollars in any one calendar year 27 to expressly advocate the nomination, election, or

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- 1 defeat of a candidate for public office or to expressly
- 2 advocate the passage or defeat of a ballot issue or
- 3 influencing legislative action.
- 4 2. APPLICABILITY. These rules are only applicable
- 5 to lobbying activities involving the Iowa general
- 6 assembly.
- 7 3. REGISTRATION REQUIRED. All lobbyists shall,
- 8 on or before the day their lobbying activity begins,
- 9 register in the manner provided under section 68B.36
- 10 by filing a completed lobbyist's registration form
- 11 with the person or persons designated by the chief
- 12 clerk of the house and the secretary of the senate to
- 13 receive lobbyist registration statements. Lobbyist
- 14 registration forms shall be available in the office
- 15 of the chief clerk of the house and the secretary of
- 16 the senate. In addition, the lobbyist shall file with
- 17 the secretary of the senate a statement of the general
- 18 subjects of legislation in which the lobbyist is or may
- 19 be interested, the numbers of the bills and resolutions
- 20 and the bill number of study bills (if known) which
- 21 will be lobbied, whether the lobbyist intends to lobby
- 22 for or against each bill, resolution, or study bill (if
- 23 known), and on whose behalf the lobbyist is lobbying
- 24 the bill, resolution, or study bill.
- 25 Any change in or addition to the foregoing
- 26 information shall be registered with the person or
- 27 persons designated by the chief clerk of the house
- 28 and the secretary of the senate to receive lobbyist
- 29 registration statements within ten days after the
- 30 change or addition is known to the lobbyist.

- 1 Registration expires upon the commencement of
- 2 the next regular session of the general assembly,
- 3 except that the secretary of the senate may adopt and
- 4 implement a reasonable preregistration procedure in
- 5 advance of each regular session during which persons
- 6 may register for that session and the following
- 7 legislative interim.
- 8 4. CANCELLATION OF REGISTRATION. If a lobbyist's
- 9 service on behalf of a particular employer, client, or
- 10 cause is concluded prior to the end of the calendar
- 11 year, the lobbyist may cancel the registration on
- 12 appropriate forms supplied by the secretary of the
- 13 senate. Upon cancellation of registration, a lobbyist
- 14 is prohibited from engaging in any lobbying activity on
- 15 behalf of that particular employer, client, or cause
- 16 until reregistering and complying with these rules. A
- 17 lobbyist's registration is valid for only one session
- 18 of a general assembly.
- 19 5. AMENDMENT OF REGISTRATION. If a registered
- 20 lobbyist represents more than one employer, client,
- 21 or cause and the lobbyist's services are concluded
- 22 on behalf of a particular employer, client, or cause
- 23 after the lobbyist registers but before the first day
- 24 of the next legislative session, the lobbyist shall
- 25 file an amendment to the lobbyist's registration
- 26 indicating which employer, client, or cause is no
- 27 longer represented by the lobbyist and the date upon
- 28 which the representation concluded.
- 29 If a lobbyist is retained by one or more additional
- 30 employers, clients, or causes after the lobbyist

- 1 registers but before the first day of the next
- 2 legislative session, the lobbyist shall file an
- 3 amendment to the lobbyist's registration indicating the
- 4 employer, client, or cause to be added and the date
- 5 upon which the representation begins.
- 6 Amendments to a lobbyist's registration regarding
- 7 changes which occur during the time that the general
- 8 assembly is in session shall be filed within one
- 9 working day after the date upon which the change in the
- 10 lobbyist's representation becomes effective.
- 11 6. PUBLIC ACCESS. All information filed by a
- 12 lobbyist or a client of a lobbyist under chapter 68B
- 13 of the Code is a public record and open to public
- 14 inspection at any reasonable time.
- 7. LOBBYIST AND CLIENT REPORTING. Each lobbyist
- 16 registered with the senate and each lobbyist's client
- 17 shall file the reports required under sections 68B.37
- 18 and section 68B.38 with the secretary of the senate.
- 19 For purposes of this rule, and the reports report
- 20 required under sections 68B.37 and section 68B.38,
- 21 "services enumerated under section 68B.2, subsection
- 22 13, paragraph "a" and "lobbying purposes" include, but
- 23 are not limited to τ the following:
- 24 a. Time spent by the lobbyist at the state capitol
- 25 building commencing with the first day of a legislative
- 26 session and ending with the day of final adjournment of
- 27 each legislative session as indicated by the journals
- 28 of the house and senate.
- 29 b. Time spent by the lobbyist attending meetings or
- 30 hearings which results in the lobbyist communicating

- 1 with members of the general assembly or legislative
- 2 employees about current or proposed legislation.
- 3 c. Time spent by the lobbyist researching and
- 4 drafting proposed legislation with the intent to submit
- 5 the legislation to a member of the general assembly or
- 6 a legislative employee.
- 7 d. Time spent by the lobbyist actually
- 8 communicating with members of the general assembly
- 9 and legislative employees about current or proposed
- 10 legislation.
- 11 7A. 8. ELECTRONIC FILING. A lobbyist or client
- 12 of a lobbyist required to file information with the
- 13 secretary of the senate pursuant to rules 3, 4, 5, and
- 14 7 is encouraged to make such filings in an electronic
- 15 format as directed by the secretary of the senate. The
- 16 committee on ethics may direct the secretary of the
- 17 senate to mandate electronic filing requirements for
- 18 lobbyists and clients of lobbyists beginning for the
- 19 2010 legislative session.
- 20 8. 9. GOVERNMENT OFFICIALS. All federal, state,
- 21 and local officials or employees representing their
- 22 departments, commissions, boards, or agencies shall
- 23 present to the secretary of the senate a letter of
- 24 authorization from their department or agency heads
- 25 prior to the commencement of their lobbying. The
- 26 lobbyist registration statement of these officials
- 27 and employees shall not be deemed complete until the
- 28 letter of authorization is attached. Federal, state,
- 29 and local officials who wish to lobby in opposition to
- 30 their departments, commissions, boards, or agencies

- 1 must indicate such on their lobbyist registration
 2 statements.
- 3 9. 10. CHARGE ACCOUNTS AND LOANS. Lobbyists and
- 4 the organizations they represent shall not allow any
- 5 senators to charge any amounts or items to any charge
- 6 account to be paid for by those lobbyists or by the
- 7 organizations they represent. A lobbyist shall not
- 8 make a loan to a senator unless the loan is made in the
- 9 ordinary course of business, the lobbyist is in the
- 10 business of making loans, and the terms and conditions
- 11 of the loan are the same or substantially similar to
- 12 the finance charges and loan terms that are available
- 13 to members of the general public.
- 14 10. 11. OFFERS OF ECONOMIC OPPORTUNITY. A
- 15 lobbyist, an employer of a lobbyist, or a political
- 16 action committee shall not offer economic or investment
- 17 opportunity or promise of employment to any senator
- 18 with intent to influence the senator's conduct in the
- 19 performance of official duties.
- 20 A lobbyist shall not take action intended to
- 21 negatively affect the economic interests of a senator.
- 22 For purposes of this rule, supporting or opposing a
- 23 candidate for office or supporting or opposing a bill,
- 24 amendment, or resolution shall not be considered to
- 25 be action intended to negatively affect the economic
- 26 interests of a senator.
- 27 11. 12. MEMBERSHIP CONTRIBUTIONS. A lobbyist, or
- 28 employer of a lobbyist, shall not pay for membership in
- 29 or contributions to clubs or organizations on behalf
- 30 of a senator.

- 1 12. 13. ACCESS TO SENATE FLOOR. Lobbyists shall
- 2 not be permitted on the floor of the senate while the
- 3 senate is in session. Elected state officials, except
- 4 the governor, lieutenant governor, and the members of
- 5 the house of representatives, shall not be permitted on
- 6 the floor of the senate while the senate is in session
- 7 to encourage the passage, defeat, or modification of
- 8 legislation.
- 9 13. 14. EFFECTIVE PERIOD. These rules governing
- 10 lobbyists shall be in effect throughout the calendar
- 11 year, whether or not the general assembly is in
- 12 session.
- 13 14. 15. GIFTS. A lobbyist or the client of a
- 14 lobbyist shall not, directly or indirectly, offer or
- 15 make a gift or a series of gifts to a senator, except
- 16 as otherwise provided in section 68B.22.
- 17 15. 16. HONORARIA. A lobbyist or client of a
- 18 lobbyist shall not give an honorarium to a member or
- 19 employee of the senate, except as otherwise provided in
- 20 section 68B.23.
- 21 16. 17. COMPLAINTS. Rules 15 through 23 25 of
- 22 the senate code of ethics apply to complaints and
- 23 procedures regarding violations of these rules.